

Purpose

This policy and procedure is designed to ensure ACE complies with the 2023 Standard VET Funding Contract – Skills First Program, 2023 Guidelines about Eligibility Skills First Program; Student Eligibility for *Skills First;* Skills Quality Charter, 2023 Guidelines about Apprenticeship / Traineeship Training Delivery - Skills First Program; VET Quality Framework, USI Registry requirements and the Standards for Registered Training Organisations (RTOs) 2015 which states:

• *"Each learner is properly informed and protected "* (Standard 5)

The purpose of this policy is to describe requirements regarding Withdrawal or Cancellation of Enrolment for all domestic students associated with government subsidised training and full fee paying and how this may affect learner of future government training entitlements and subsidy arrangements in relation to the delivery of the services.

This policy is to be read in conjunction with the following:

- Formalisation of Enrolment Policy and Procedure (Domestic)
- Fees and Charges Policy and Procedure (Domestic)
- Refund Policy and Procedure (Domestic)

Scope

This policy applies to all Domestic learners (also referred as eligible individual, students or applicant) enrolled at ACE.

Definitions

| Apparent Withdrawal | The student has engaged in some learning and/or assessment activity, and then stopped attending or submitting assessments (i.e. discontinued) without notifying the training provider |
|---|---|
| Leave of Absence | A request by the student to temporarily suspend study after the commencement of the study (Student initiated). |
| Compassionate or Compelling Circumstances | Compassionate or Compelling Circumstances are generally those beyond the control of the student and which affects the student's course progress or well-being. These could include (but not limited to): Serious illness or injury – where a medicate certificate states the student was unable to attend classes; or Bereavement of close family member such as parents or grandparents (where possible a death certificate should be provided); or Major political upheaval or natural disaster and this has impacted on the students studies; or A traumatic experience which could include: Involvement in, or witnessing of a serious accident; or Witnessing or being victim of a serious crime, and this has impacted on the student the student (these cases should be supported by police or psychologists' reports) |

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| CancellationStudent enrolment is cancelled due to non-attendance or not meetin progress or misbehaviour after the notification by the provider's intention (ACE initiated). | |
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| DeferralThe student has engaged in some learning activity and/or assessment, and negotiated a deferral of studies (with an intent to resume at a later date in Program Enrolment) with the training provider before finalisation requirements for successful completion of the Program Enrolment or whe has been interrupted by external events | |
| Department | means the State of Victoria acting through the Department of Education and Training (or its successor). |
| Eligible IndividualEligible Individual means an individual who is eligible for government training in accordance with the eligibility requirements set out in Funding Contract and related guidelines. | |
| FormalThe student has engaged in some learning activity and/or assessment, and notified the training provider of their withdrawal before finalisation requirements for successful completion of the Program Enrolment. If a advises a training provider in writing that they will not be continuing in a Enrolment, this constitutes a formal withdrawal. | |
| StudentofOfferandStudentAcceptanceAgreement | A formal agreement between the student and ACE agreeing and accepting the offer for the course wishing to enrol including applicable fees, terms and conditions. |
| Withdrawn no attendance | The student has not engaged in any learning and/or assessment activity for the program |
| Withdrawal | A request by the student to permanently terminate their studies after the commencement of the study (Student initiated). |

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Policy

- 1. Prior to enrolment ACE will provide student/learner current and accurate information that enables the learner to make informed decisions about undertaking training with ACE and include the information on the implications for the learner of government training entitlements and subsidy arrangements in relation to the delivery of the services if a student withdraws or cancels after enrolment.
- 2. If a learner is accessing any government funding entitlement ACE will inform the learner that withdrawing or cancelling their current enrolment may reduce their ability to access such funding in the future (may limit funding to one qualification for that year as learner can access only the '2 in a year' limitation; the '2 at a time' limitation; the '2 at level' limitation).
- 3. ACE allows changes to a student's enrolment to take place by way of assessing, approving and recording a leave of absence or temporarily postpone study after the commencement of the study or withdrawal (permanently terminate) study, including keeping documentary evidence on the student's file of the assessment of their application.
- 4. ACE will temporarily suspend or grant leave of absence for a student's enrolment on the grounds of compassionate or compelling circumstances (for example, illness where a medical certificate states that the student is unable to attend classes)
- 5. ACE may cancel a student's enrolment due to non-attendance or not meeting course progress or misbehavior after the notification by ACE's intention to do so in writing.
- 6. All students being withdrawn from a Program Enrolment shall be reminded that their enrolment in a Victorian government subsidised program (or part thereof, and regardless of completion), may impact their future training options and eligibility for further Victorian government subsidised training.
- 7. ACE will:
 - a. inform the student in writing of its intention to cancel the student's enrolment due to nonattendance or not meeting course progress or misbehaviour; and
 - b. notify the student that he or she has 20 working days to access ACE's internal complaints and appeals process.
 - c. not cancel the student's enrolment, if the student accesses ACE's internal complaints and appeals process, until the internal process is completed, unless extenuating circumstances relating to the welfare of the student apply.

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Procedure

The following procedures outline the application, assessment and approval of the leave of absence or withdrawal or cancellation of enrolment whether instigated by the student or ACE and further deals with the subsequent reporting requirements to the Department of Education and Training through SVTS and responsibility of ACE to keep and maintain records of all relevant documents in the student's file.

1.0 Student Leave of Absence

- 1.1 Domestic students may request for Leave of Absence or temporarily postpone study after commencement of a course only in the following limited circumstances:
 - a. On the grounds of Compassionate or Compelling circumstances ; or
 - b. Unavailability of a course/units;

NB: Other reasons may be considered but documentary evidence must be provided to support the claim.

- 1.2 Students may request this by completing the Student Leave of Absence Form and submitting it with the appropriate supporting evidence to the Course Coordinator.
- 1.3 Students must submit the application form as soon as practicable.
- 1.4 The Course Coordinator will review the application and use professional discretion, in line with this policy, to determine whether the application for leave of absence will be granted or denied. The Course Coordinator will review the student's application and provide a response within 5 working days.
- 1.5 Once this request is processed the student will receive a written letter from the Course Coordinator granting leave of absence. The student leave will be recorded on the Student management system and placed in the individual student's file.

NB: A student's leave of absence can be for a maximum period of two weeks or considered for more period but documentary evidence must be provided to support the claim.

2.0 Student Initiated Withdrawal

- 2.1 Student requesting withdrawal or permanently terminating their enrolment must complete the Cancellation of Enrolment Form and submit it with relevant supporting evidence to the Course Coordinator at least 14 days prior to their anticipated cancellation date.
- 2.2 The Course Coordinator will review the application and inform the student that withdrawing or cancelling their current enrolment may reduce their ability to access such funding in the future (may limit funding to one qualification for that year as learner can access only the '2 in a year' limitation; the '2 at a time' limitation; the '2 at level' limitation). The Course Coordinator will review the student's application and proceed if the student still wishes to withdraw.
- 2.3 Once this request is processed the student will receive a written letter from the Course Coordinator approving their request within 5 working days. The student enrolment will be changed from Active to Withdrawn with the reasons and updated on the Student management system and communicated to SVTS through the monthly upload.
- 2.4 The copy of this request and all supporting documentation will be placed in the individual student's file.

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- 2.5 Student will be issued with Statement of Attainment for the units achieved competency within 30 days of a student withdrawing from their training and/or assessment, and a copy retained with ACE as evidence that this has occurred and that learners receive all documents they are entitled to provided no outstanding fees are due.
- 2.6 ACE will retain records of qualifications and statements of attainment issued to all students for 30 years.
- 2.7 As per the Guidelines about Apprenticeship/Traineeship Delivery ACE will notify the AASN provider within two weeks of withdrawal/non-start of an enrolled Apprentice/Trainee.

3.0 ACE Initiated Cancellation

- 3.1 ACE may **cancel** enrolment in the following circumstances:
 - a. Student demonstrates serious misconduct or misbehaviour as outlined in the Code of Conduct
 - b. Non-attendance or Unsatisfactory attendance and course progress. See ACE's Monitoring Course Progress Policies and Procedures for further information.
 - c. Non payment of outstanding fees.
 - d. The Department may exercise its right to give ACE a direction under Clause 4.7 and as per Clause 16, 18 of the Skills First Contract, which may include suspension or cancellation of the Funding Contract. ACE shall immediately comply with such direction from the Department, which may include a direction to assist Eligible Individuals to transfer to another RTO in order to continue the training commenced by the Eligible Individual, under the Skills First Funding Contract.
- 3.2 In cases where **cancellation** of the student's enrolment is initiated by ACE, student will be notified in writing and given 20 working days to access ACE's internal complaints and appeals process. The student will be directed to ACE's website or contact the Student Support Services Officer to complete the Complaints and Appeals application form. If the students chooses to:
 - a. access ACE's internal appeals process then ACE will maintain the student's enrolment (until the internal appeals process is completed, unless Extenuating circumstances* relating to the welfare of the student or the safety of others apply. Please refer to Complaints and Appeals Policy and Procedure for further information.

*'Extenuating circumstances' relating to the welfare of the student may include, but are not limited to the following. The student:

- is missing;
- has medical Concerns, severe depression or psychological issues which lead ACE to fear for the student's wellbeing;
- has engaged or threatens to engage in behaviour that is reasonably believed to endanger the student or others;
- is at risk of committing a criminal offence.

NB: Any claim of extenuating circumstances will need to be supported by appropriate evidence (see Guidelines of Evidence under section 3.0).

access an external appeals process, ACE does not have to wait for the outcome of an external appeal before notifying the Department of Education and Training of the change to the student's enrolment status.

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- 3.3 The student will be informed on the impact of the cancellation may reduce their ability to access such funding in the future (may limit funding to one qualification for that year as learner can access only the '2 in a year' limitation; the '2 at a time' limitation; the '2 at level' limitation)
- 3.4 During the 20 working day appeals process, students will be provided the opportunity to continue their enrolment so as not to deny them learning opportunities and disadvantage their subsequent studies should the appeals process is in their favour. The only exclusion to this is in the case of a student who is in breach of the code of conduct and is a risk to his/herself or to the safety of others.

Guidelines for Evidence

1.0 Evidence supplied will be assessed and deemed valid by ACE according to the following guidelines:

- Medical Certificates must:
 - Be issued by a Certifying Health Practitioner (i.e. General Practitioner, Psychiatrist or Specialist)
 - State that the student was medically unfit to attend classes
 - State the duration of absence
 - State the Certifying Health Practitioner's contact details
- > Death Certificate must:
 - Be certified
 - Translated into English
- > Police Reports must:
 - State the police officer's contact details
- > Psychological Reports must:
 - Be issued by a registered psychologist
 - Indicate the student's psychologically incapacity to attend class
 - State the psychologist's contact details

NB: Failure to supply documented evidence will result in compassionate or compelling circumstances being deemed as non-existent.

2.0 Additional Guidelines & Notes

- a. Please refer to ACE's *Refund Policy and Procedure* for further information relating to refunds in the event of a withdrawal or cancellation.
- b. If a student does not return to studies after a suspension or leave of absence or organized break, ACE will inform the student in writing of its intention to cancel the student's enrolment due to non-attendance or not meeting course progress.
- c. ACE will give 20 working days to access ACE's internal complaints and appeals before cancelling student's enrolment due to non-attendance.
- d. Where a student under 17 years of age was enrolled in training on the basis that they have been granted an exemption from school attendance to undertake a specific program with ACE, if the student stops attending that program, or complete that program, and are at that time still under 17 years of age, ACE must notify the relevant Regional Office of the Department and (where applicable) the student's previous Victorian school that they are no longer attending training.

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Responsibility

- CEO
- Course Coordinator
- Student Support Services Officers

Forms / Policies

- Primary Forms
 Leave of Absence Form
 Cancellation of Enrolment Form
- Secondary Forms Refund Request Form

Implementation

The implementation will occur using the following strategies:

- All staff are informed and provided complete information of the above during their induction.
- The above policy and procedure is maintained on ACE's Internal Drive and Website

Forms / Record Keeping

| Title | Location | Responsible Officer | Retention Period |
|--|--------------|---------------------|-------------------------|
| Leave of Absence Form and Cancellation of Enrolment Form (and all relevant documentary evidence attached for both forms) | Student File | Course Coordinator | 7 years |

Version History

| Version | Date | Description of changes |
|---------|------------|---|
| 4.2 | 31.03.2023 | Updated "Additional Guidelines & Notes" section to incorporate note regarding students under 17 years of age. |
| 4.1 | 20.02.2023 | Updated document to reflect contract reference document. |
| 4.0 | 17.01.2022 | Updated document to reflect contract changes, add Version History section and other minor changes. |
| 3.0 | 01.01.2021 | Currently released version |

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